

PATENT
Docket No. 11995/2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Kiwamu TANAHASHI, et al.
SERIAL NO. : 09/785,416
FILED : 20 February 2001
FOR : PERPENDICULAR MAGNETIC RECORDING
MEDIA AND MAGNETIC STORAGE APPARATUS
USING THE SAME

GROUP ART UNIT : 1773
EXAMINER : N. J. Uhler

Conf. No. 1923

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

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OFFICE OF PETITIONS

S I R:

The applicants request that a corrected filing receipt be issued showing the correct filing fee paid, i.e., \$890.00, as shown on the attached marked-up copy of the filing receipt A Verification of Large Entity Status and Payment of Deficient Fees accompanies this request. In addition, the filing receipt should not indicate small entity status.

The Office is invited to contact the undersigned at (202) 220-4210 to discuss any matter concerning this application. The Office is authorized to charge any fees related to this communication to Deposit Account No. 11-0600.

Respectfully submitted,

John C. Altmiller
(Reg. No. 25,951)

Date: April 28, 2004

KENYON & KENYON
1500 K Street, N.W., Suite 700
Washington, DC 20005
Tel: (202) 220-4200
Facs: (202) 220-4201



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U.S. PATENT AND TRADEMARK OFFICE
APR 28 2004

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/785,416	02/20/2001	1773	475 890	11995/2	7	12	6

CONFIRMATION NO. 1923

FILING RECEIPT



OC000000005946831

23838
KENYON & KENYON
1500 K STREET, N.W., SUITE 700
WASHINGTON, DC 20005

Date Mailed: 04/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kiwamu Tanahashi, Kokubunji, JAPAN;
Atsushi Kikugawa, Higashimurayama, JAPAN;
Yukio Honda, Fuchu, JAPAN;
Masaaki Futamoto, Shiroyama, JAPAN;
Akira Ishikawa, Kokubunji, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-266093 09/01/2000

If Required, Foreign Filing License Granted 04/06/2001

Projected Publication Date: 03/07/2002

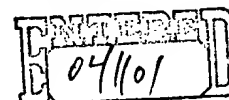
Non-Publication Request: No

Early Publication Request: No

****SMALL ENTITY****

Title

Perpendicular magnetic recording media and magnetic storage apparatus using the same



Preliminary Class

428

Data entry by : MERSO, WOSSENE

Team : OIPE

Date: 04/09/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Transaction List

Search by:

☐ Accounting Date:

Operator ID:

(MMDDYY)

Accounting Date



☒ Name/Number:

09785416

Starts:

Ends:

☐ Attny Docket No:

☐ Deposit Account No.:

j	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct	Attny Dck
	MMEKONEN	194	SALE	1251	A	110.00	09785416	110600	11995/2
	YGIZAW	28	SALE	202	A	120.00	09785416	110600	11995/2
	YGIZAW	27	SALE	201	A	355.00	09785416	110600	11995/2
	YGIZAW	26	ADJ	102	A	-240.00	09785416	110600	11995/2
	YGIZAW	25	ADJ	101	A	-710.00	09785416	110600	11995/2
	AAHMED1	160	SALE	581	A	40.00	09785416	110600	11995/2
	TBESHAH1	110	SALE	102	R	240.00	09785416	110600	11995/2
	TBESHAH1	109	SALE	101	R	710.00	09785416	110600	11995/2



Adjustment Detail

Authorization Detail



Adjustment date: 04/29/2004 CNGUYEN
 04/06/2001 YGIZAW 00000008 110600 09785416
 01 FC:201 355.00 CR
 02 FC:202 120.00 CR



DAC
\$A

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**VERIFICATION OF LARGE ENTITY STATUS AND
PAYMENT OF DEFICIENT FEES UNDER 37 C.F.R. § 1.28(C)**

OFFICE OF PETITIONS

S I R:

The applicants have noted an error in the original filing of the application. The application fee transmittal notes that the applicants claim small entity status. A copy of the fee transmittal and of the initial filing receipt registering a filing fee corresponding to a small entity are enclosed. Since the small entity status was established in error and the error was in good faith, the applicants request that the small entity status be removed and that the Office charge the deficient fees to Deposit Account No. 11-0600.

Itemization of Deficiency Payment:

Basic National Filing Fee	\$ 355.00 (paid as a small entity on 2-20-01)
Current Filing Fee	\$ 770.00 (as at 4-27-04)
DEFICIENCY	\$ 415.00
Extra Claims Fee	\$120.00 (paid as a large entity on 2-20-01)
DEFICIENCY	None
TOTAL DEFICIENCY	\$415.00

04/29/2004 CNGUYEN 00000003 110600 09785416

01 FC:1001	770.00 DA
02 FC:1201	258.00 DA

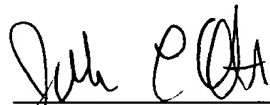
Accordingly, the Office is authorized to charge \$415.00 to Deposit Account No. 11-0600. The Office is also authorized to charge any additional fees or credit any overpayment arising from this communication to the same account.

The Office is also requested to provide the applicant with a corrected filing receipt. This request is made under separate cover and filed concurrently herewith.

The Office is invited to contact the undersigned to discuss any matter related to the prosecution of this patent application.

Respectfully submitted,

Dated: 28 April 2004



John C. Altmiller
Reg. No. 25,951

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